

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mahanti, et al.

Appln. No.: To be assigned

Art Unit: To be assigned

Filed: April 23, 2001

Examiner: To be assigned

For: METHOD AND APPARATUS FOR
ELECTRONIC TRADING

Docket No.: SKS-00101

JC986 U.S. PTO
09/1840446
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I hereby certify that the foregoing documents are being deposited with the United States Postal Service as express mail, postage prepaid, in an envelope addressed to the Commissioner for Patents Washington, D.C. 20231 on this date of April 23, 2001.

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

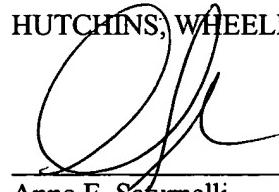
Since this Information Disclosure Statement is being filed with the above-referenced patent application, no fee is due. Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any

deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,

HUTCHINS, WHEELER & DITTMAR



Anne E. Saturnelli
Registration No. 41,290

April 23, 2001

Date

Patent Group
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Boston, Massachusetts 02110

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) SKS-00101			Application Number To be assigned
		Applicant Mahanti, et al.			
		Filing Date April 23, 2001			Group Art Unit To be assigned
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
AA					
AB					
AC					
AD					
AE					
AF					
AG					
AH					
AI					
AJ					
AK					
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
AL					
AM					
AN					
AO					
AP					
OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>					
AQ	Ryu, Y., and Hong, S-C, "Negotiation Supports in a Commodity Trading Market", Decision Sciences Department, The University of Texas at Dallas, IEEE (1998)				
AR					
AS					
EXAMINER		DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.					

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